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  - © Springer Nature B.V. 2019
  - **Abstract**

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- The purpose of this study is to test whether groups with different cultural cognition orien-
- tations construct different stories about the same policy issue given the same information.
- We employed a focus group methodology to assemble participants with similar cultural AQ1
- 10 dispositions and used the Narrative Policy Framework to examine the policy narratives that
- 11 groups form about campaign finance. Our analyses indicate that the stories these homoge-
- neous cultural groups tell associate political process concerns related to campaign finance
- to their core cultural values. Even when provided with the same information, the stories
- that the groups produced varied along theoretically consistent cultural dimensions. Our
- findings show the narrative cores displayed similar attribution of the problem to intentional
- human action; however we observed variation in the manner in which certain characters
- 17 were assigned blame, and significant differences in the density of several of the narrative
- 18
- networks. We found that differences in presence of victims emerged along the grid dimension of cultural cognition with egalitarian narratives cores possessing victims, whereas
- 20 hierarchist narratives did not. A difference that emerged along the group dimension of cul-
- 21 tural cognition was the core narrative of individualist groups generated policy solutions,
- while communitarian narrative cores did not.
- 23 **Keywords** Narrative policy framework · Social network analysis · Cultural cognition ·
- Campaign finance reform
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#### 1 Introduction

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The Narrative Policy Framework (NPF) posits narrative as a fundamental driver of policy change, policy outcomes, and policy processes. While NPF scholars have made inroads in explaining narrative's role in shaping these important public policy dependent variables (Jones and McBeth 2010; Shanahan et al. 2011, 2013, 2018a; McBeth et al. 2014; Jones et al. 2014), there is still a considerable amount to be learned about the processes by which policy narratives are formed. The research presented here speaks to this gap by explor-AQ2 ing the formative processes whereby cultural orientations impact the story that groups generate about campaign finance reform, a low salience policy issue. To accomplish this task, we analyze transcripts of four focus groups conducted in the summer of 2011. Each one of the four groups were designed to be culturally homogeneous and distinct. A moderator initiated a discussion of the current system of campaign finance and allowed the group to develop their own explanation of its functions, strengths, and weaknesses. After this explanation emerged, the moderator presented the group with an information packet containing facts about campaign financing in the United States, and a specific proposal to reform the existing campaign finance system. Given that the group was prescreened for interest in campaign finance, but also knew little about the information presented to them, we hypothesized that each group would use narratives rooted in their cultural orientations to make sense of campaign finance reform. Our hypothesis was confirmed. Indeed, each group formed a culturally specific policy narrative about campaign finance reform that was distinct from the other groups. In what follows, we first provide some background information about the development of United States campaign finance reform policy. Next, we describe the theories that drove our research design, followed by a discussion of the data and methods. Finally, we provide an analysis of the distinct narratives generated by each culturally specific focus group. We focus on the observed variation between the presence or absence of particular narrative elements and the manner in which these elements are connected along lines consistent with cultural cognition theory (Kahan 2012).

## 2 Campaign finance reform: a brief review

53 Ever since currency encountered democratic governance in the United States, funding political campaigns has been a troublesome aspect of the democratic process. Louise Over-54 acker, an early scholar of United States money and politics, observed that the "...financ-55 ing of elections in a democracy is a problem of...increasing concern" (1932, p. vii). Since 56 then, the United States campaign finance regulatory apparatus has come to reflect that 57 concern. The Mugwump crusade against government corruption in the post-Civil War era 58 and the Progressive agenda at the turn of the 20th Century did much to instill a culture of 59 regulation (La Raja 2008). Rooted in anti-partisan sentiment that celebrated the ability of 60 the individual to make objective and rational decisions, Progressive-era reforms generated 61 a complicated fabric of regulation including civil service reforms, ballot-design revision, 62 financial disclosure requirements, and both expenditure and contribution limits, in various 63 combinations across the states by 1928 (La Raja 2008). But it was not until recently that 64 the greatest changes in the national structure of campaign finance developed. 65

The Federal Election Campaign Act (FECA) of 1971 and subsequent amendments codified many national regulations governing individuals, parties, and political action



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committees (PACs). It also established the Federal Election Commission (FEC) to oversee campaign spending and the newly created presidential matching fund program. Some of the provisions of FECA were overturned in *Buckley v. Valeo*, illuminating an ongoing tension between popular will manifest in the legislature and the constitutionality of that will defined by the Supreme Court. It wasn't until 2002 that Congress would follow up FECA with major campaign finance reform.

The Bipartisan Campaign Finance Act of 2002 (BCRA), also known as McCain-Feingold, raised the individual contribution limits but focused primarily on soft money, defined as those "funds given by corporations, unions, and wealthy individuals" and used by parties for non-electioneering functions such as get out the vote efforts and other party-building activities (Powell 2010, pp. 14–15). BCRA served to "(1) ban soft money fundraising and spending by political parties and (2) prohibited the use of soft money by any organization and on advertisements 30 days before a primary and 60 days before a general election in which a federal candidate was on the ballot" (La Raja 2008, pp. 106–107).

In 2010, the Supreme Court stepped in, and in a close 5–4 ruling in *Citizens United v. Federal Election Commission* held that the limits placed on corporations and unions were unconstitutional. Specifically, these entities had the same right as individuals to make independent campaign expenditures. In practice, this ruling meant that corporations and unions could spend as much as they wanted to in their efforts to shape election outcomes. Of course, the average American citizen is unaware of most, if not all, of this.

Citizens in the United States are quick to voice their dissatisfaction with the role of money politics (e.g. Jorgensen et al. 2018; Shaw and Ragland 2000) and are quick to point out that they endorse reform of the political system (e.g., Pew Research Center 2018; Jorgensen et al. 2018; La Raja and Schaffner 2011). Despite this trend, campaign finance is a low salience issue and Americans—even citizens concerned about it—know little about campaign finance policy (Jorgensen et al. 2018). Thus, we can expect that most Americans would not have a detailed grasp of campaign finance law (Milyo and Primo 2017), which makes this issue ideal for conducting research about the narratives groups form when their members encounter complex information environments that they have little knowledge of prior to entering the environment.

# 3 Groups and their stories: political culture, cultural cognition, and the narrative policy framework

Sustained social interactions—and the lasting effects wrought about by those inter-actions—are what we might mean when we invoke the idea of culture. The study of political culture is concerned with the lasting and systematic effects of that culture on phenomena distinctly political—how we organize, decide, govern, and the like. With a concept so vast, it should not be surprising that no single, monolithic academic approach to political cultural has emerged. Below we briefly discuss several of the scholarly approaches to political culture and why we have chosen cultural cognition as a way to empirically measure culture in our research. We then detail the four cultural orientations of cultural cognition. Finally, we describe the Narrative Policy Framework as a way to measure the ways in which different cultural groups tell their stories. 



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#### 2 3.1 Political culture

The concept of political culture has been under near continuous development since its introduction by Almond (1956). Perhaps most influential in this development is the seminal study by Almond and Verba (1963) where the researchers developed a tripartite cat-115 egorization scheme of subject culture, parochial culture, and participant culture. Other 116 approaches have since also garnered considerable attention. For example, Elazar's (1984) 117 three-part characterization of US political culture as moralistic, individualistic, or traditionalist has inspired many studies such as those examining public policy (e.g., Morgan and 119 Watson 1991) and electoral outcomes (e.g., Fisher 2016a, b), to note but a few. Another 120 macro-approach to the study of culture was pioneered by Geert Hofstede, who developed 121 his cultural dimensions framework based on four different cultural dimensions, which 122 facilitated a great deal of research in cross-cultural comparison, especially in the fields of 123 management and the study of organizations (e.g., Hofstede 2003). As seen above, political 124 culture theorizing has given birth to a variety of ways to conceptualize or name dimensions 125 of political culture. Here we have selected the cultural cognition (e.g., Kahan et al. 2011) 126 approach for assessing political culture, a derivative of Cultural Theory (Thompson et al. 127 1990), which has shown itself to scale well from macro-levels of analysis (e.g., national) 128 all the way down to individuals (see Swedlow 2011 for an overview), which serves our 129 purposes well in attempting to understand variation in narratives across culturally homog-130 enous groups of individuals. 131

#### 3.2 Cultural cognition

Recognizing that individuals make sense of the world through the understandings they 133 share with their communities, anthropologist Mary Douglas and political scientist Aaron 134 Wildavsky began working on the cultural theory of risk in the 1970s and 1980s (e.g., Doug-135 las 1974; Douglas and Wildavksy 1982). This early work eventually culminated in a formal 136 approach to the study of culture more generally, titled Cultural Theory (CT) (Thompson et al. 1990). Subsequent research has built on this classic variant of CT and has offered 138 insights into decision-making (e.g., Chai et al. 2011), public preferences (e.g., Jones 2011), 139 and institutions (Lockhart 2011), to cite just a few areas of inquiry (see Mamadouh 1999; 140 141 Swedlow 2011, 2014). At the heart of CT are two dimensions that allow researchers to sort individuals by cultural orientation. CT theorizes that individuals orient themselves using 142 preferences for group belonging (Group) and the extent to which groups are allowed or 143 expected to prescribe behaviors (Grid). This research relies upon a variant of CT known as 144 cultural cognition. 145

Cultural cognition (CC), like CT, measures individual beliefs on the aforementioned dimensions: hierarchy-egalitarianism measures grid, while individualism-communitarianism measures group (Kahan et al. 2011, p. 8). This produces four ways of life: hierarchical-individualism (HI), hierarchical-communitarianism (HC), egalitarian-individualism (EI), and egalitarian-communitarianism EC, (also referred to as egalitarian solidarism, see Fig. 1) (Kahan et al. 2011, p. 9).

Each quadrant is theorized to capture latent cultural predispositions of individuals who view the world through a specific filter that works to produce systematic cognitive biases. These biases influence how people process new information. Confirmation bias is a process whereby individuals are predisposed to accept information that affirms their priors (i.e.



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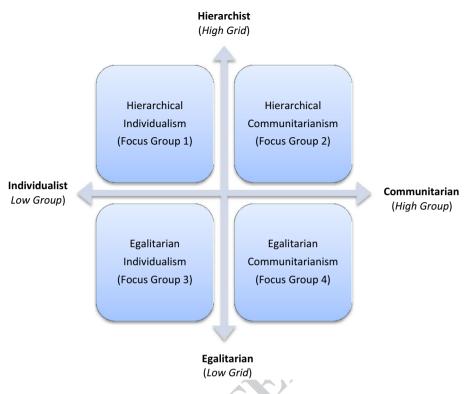


Fig. 1 Cultural cognition

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culture), while disconfirmation bias predisposes individuals to reject information or stimuli that does not (e.g., Lodge and Taber 2007). Together, these processes are understood as 157 identity protective cognition (Kahan et al. 2007, 2011) and its influence over how incoming information is processed is substantial, particularly as it relates to risk. For example, hierarchical-communitarians view gun control as high threat, egalitarian-communitarians do not; hierarchical-communitarians find abortions a high threat, individualist-communitarians do not (see Kahan 2012).

Kahan (2012) describes the hierarchical-individualist who values structure but also feels less constrained by groups:

Think of the iconic American cowboy, the "Marlboro Man": He bridles at outside interference with the operation of his ranch, yet still exerts authority over a small community whose members—from ranch hands, to wives, to sons and daughters all occupy scripted, hierarchical roles (p. 735).

Similarly, hierarchical-communitarians value hierarchy but also place value on group membership. Individuals in the military or members of a rules-based religion are likely to end up in this quadrant. Egalitarian-communitarians recoil from hierarchy and prefer to see themselves as part of a larger community. Many environmentalists fall into this category. Egalitarian-individualists fall in the bottom left quadrant and favor equality, having distaste for hierarchies, and favor markets and other mechanisms that allow individuals to compete fairly. Each of these groups views the world differently and can take the exact same



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information and come to quite different—indeed, polar opposite—conclusions about risk (Kahan et al. 2011). 177

Cultural worldview has an impact on how individuals understand public policy problems, and the solutions they find acceptable (Zanocco and Jones 2018). When investigating the power of scientific research to convince laypersons, Kahan et al. (2011) found that participants' acceptance of an academic as an "expert" varied in accordance with their grid and group scores. Additionally, Kahan et al. (2007) found that neither race nor gender influenced risk assessment alone but acted "in conjunction with distinctive worldviews that themselves feature either gender or race differentiation or both in social roles involving putatively dangerous activities" (Kahan et al. 2007, p. 3). Such studies demonstrate that bias in assessing scientific evidence can be explained, partially, by cultural cognitive commitments.

Kahan et al. (2010) use CC to explain opposition or support for outpatient commitment laws (OCLs). In this study, the researchers test CC hypotheses applied to a policy dispute where expert consensus is not present, as OCLs have only recently emerged as a policy controversy. Kahan et al. (2010a) found that higher scores on individualism were associated with less support for OCLs while increased hierarchy was associated with stronger support for OCLs.

Kahan et al.'s (2010) study of the vaccination of young women for human papilloma virus (HPV) found that "biased assimilation" in addition to "source credibility" (Kahan et al. 2010b) influenced the stance taken toward the desirability of vaccination. They discovered that individuals who were more individualistic and hierarchical were more concerned about the negative consequences of the vaccine, while egalitarian and communitarian individuals were less concerned (Kahan et al. 2010b, p. 511).

Furthering this avenue of exploration into public policy areas lacking expert scientific consensus on the desirability of particular policy solutions, the work of Kahan et al. (2011) cited above is relevant to questions of campaign finance reform, as no scientific consensus on the desirability of one campaign financing system over another exists. Their work suggests that cultural differences are likely to drive the types of reform initiatives that individuals find desirable. This research explores how individuals utilize narratives to come to those varying conclusions. To do so, we leverage the NPF.

#### 3.3 Narrative policy framework

Narratives are the primary means by which human beings make sense of the world (e.g., 208 Jones 2018; Shanahan et al. 2018b; White 1981, 1987). The NPF measures this sense-mak-209 ing through narrative content and form. Narrative form can be effectively conceived as a 210 constellation of structural elements which are likely to be featured in all policy narratives, such as the kind of narrative likely to develop in a discussion of campaign finance reform. 212 The NPF posits four main narrative elements that constitute form: characters (e.g., a vil-213 lain who causes a harm; a victim who is harmed; and a hero whose action helps the victim 214 and stops the villain); the moral of the story, or the policy solution (what the hero does to 215 address the harm); the setting of the tale (where the narrative takes place); and the plot 216 217 (which lays out the interactions over time between characters and the setting). Within the 218 NPF, these elements are fundamental facets of narrative that can be identified, quantified, and compared across policy areas (Jones 2018; Shanahan et al. 2018b). 219

Narrative content, on the other hand, may be quite specific to the policy area in ques-220 tion. For example, narratives about tariffs on international trade may have little in common



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with policy narratives about manned space exploration. Systemic understandings of narrative content can thus be difficult (referred to as the problem of narrative relativity within the NPF—see Jones et al. 2014). To attempt to produce generalizable findings relative to narrative content, the NPF argues that one must understand the belief systems individuals and groups use to help them attach meaning to the varied content of narratives (characters, symbols, evidence, etc.). For example, Hillary Clinton, as a character within a policy narrative, is likely to generate quite predictable—and opposite—emotional reactions from individuals belonging to liberal and conservative networks or groups in the United States. Beliefs are thus the "glue" that often hold groups and coalitions together (Sabatier and Jenkins-Smith 1993) and have been measured in past NPF studies to understand policy differences (e.g., McBeth et al. 2005).

Through narratives individuals are able to emphasize certain aspects of reality while drawing attention away from other facets of reality deemed to be of lessor import (Gilovich 1991; Shanahan et al. 2018c). In doing so, narratives ascribe differential value and assert relationships, they function to convey right and wrong, virtue and vice, and to sculpt contours of group membership so individuals can be placed in epistemic communities. Narratives also employ particular strategies, such as causal mechanisms in their quest to identify a particular group, phenomenon, or institution, that is responsible for the existence or emergence of a particular public problem (Shanahan et al. 2018b). This process is fundamentally social, and it means that narrative transmits culture, as maxims, rules-of-thumb, and common sense (as well as more technical information) between individuals. Thus, it is posited that while individuals do make sense of the world on their own, when they are confronted with new or complex information, individuals likely turn to their group culture to narratively structure that information, assess threats, and make sense of the world more generally. In this research, Cultural cognition, operationalized as a subset of the NPF belief system classification, is theorized to drive said story creation.

#### 248 4 Data and methods

In this research, the unit of analysis is the group narrative produced by individual actors within a culturally congruent focus group. Four groups were utilized, as defined by cultural cognition. Of primary concern was the way in which a group's culture structures narrative formation in low salience, low information policy areas. Each group was primed for discussion by prompting each member to describe the current state of the United States of America, in a single word (uncertain, terrible, etc.). The moderator then asked individuals to expand upon the reasoning behind their word choice. This opened the discussion on the participants' terms and established the focus group a place where the moderator plays a reserved role. After a brief conversation, the moderator presented information about United States campaign finance policy, an issue with low salience and that most Americans know very little about. Narrative theory and previous research (Song et al. 2014) suggest that individuals will rely on their familiar groups to make sense of the information through storytelling. Drawing from the extant literature, we seek to answer these research questions:

RQ1: Do groups composed of individuals with different CC worldviews form narratives distinct from one another when asked about campaign finance reform?

RQ2: Does the provision of additional information with regard to campaign finance reform result in changes to the structure of narratives produced in focus groups with homogenous CC worldviews?



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The subsequent sections address the research design utilized in this study.

#### 4.1 Data 268

To address our research questions, we conducted four focus groups in Oklahoma City in June of 2011. Each focus group consisted of pre-screened participants that were paid seventy-five dollars for their services. In April of 2011, a pool of voluntary respondents was screened for one of the four focus groups based on specific criteria. First, potential participants were screened for a minimal interest in campaign finance reform. The logic behind this criterion was that a minimal interest level would make participants more likely to engage and think seriously about the issue in the focus group setting. To determine the cultural affinities of participants, each was asked to respond to two batteries of statements, where each represents one dimension of cultural cognition: hierarchy—egalitarianism (i.e., grid) and individualism—communitarianism (i.e., group), respectively. Each battery consisted of six statements typical of CC studies (e.g., Kahan et al. 2011, p. 27) where each statement was a simple dichotomous agree or disagree, coded one or zero, respectively. Responses were then summed for each dimension.<sup>2</sup> Intersecting the two orthogonal dimensions of hierarchy—egalitarianism and individualism—communitarianism produces four distinct cultural quadrants: hierarchical-communitarian, hierarchical-individualist, egalitarian-communitarian, and egalitarian-individualist. Since we are interested in evaluating the effect of CC on sense-making narratives we wanted participants that were clearly in one quadrant and not individuals from the center of the distributions. We selected 10 participants for each group that scored in the top and bottom quartiles for each of the two dimensions.<sup>3</sup> The logic was that these individuals, when placed in groups, would produce more distinguishable cultural narratives than individuals with more centrist responses. This process produced four focus groups with 10 non-centrist cultural types in each (see Appendix

<sup>&</sup>lt;sup>3</sup> The top quartiles had scores ranging from +4 to +6, while the bottom quartiles had scores ranging from -4 to -6 for each of the two dimensions. While 10 participants accepted the invitation to participate, actual attendance ranged from 8 to 10 individuals.



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<sup>&</sup>lt;sup>1</sup> On a scale from one to six, where one is strongly disagree and six is strongly agree, potential participants were asked to respond to the following screening question: The way in which congressional campaigns are currently financed and paid for is in need of serious reform. Participants that agreed with the above statement (responses ranging from 4 to 6) continued on to the next selection process where they were screened for their cultural cognition affinities.

<sup>&</sup>lt;sup>2</sup> For the hierarchy--egalitarianism dimension, agreement with egalitarian affiliated statements received a -1, while disagreement received a +1; similarly, for the same dimension, hierarchy affiliated statements received a +1 for agreement and a -1 for disagreement. The scores were then aggregated producing a single hierarchy score for each potential participant ranging from -6 to +6, where a strongly negative score denoted an egalitarian orientation, while a strongly positive score denoted a hierarchical orientation. The same process was conducted for the individualism--communitarianism dimension. Agreement with individualism affiliated statements received a - 1, while disagreement received a + 1; similarly, communitarian affiliated statements received a +1 for agreement and a -1 for disagreement. The scores were then aggregated producing a single summative communitarian score for each potential participant ranging from -6 to +6, where a strongly negative score denoted an individualist orientation, while a strongly positive score denoted a communitarian orientation. To test H3, the CC scores were weighted by respondents' response to the screening question (Mildly Agree = x 1.0; Agree = x 1.25; and Strongly Agree = x 1.50). The idea being that if the issue is seen as more important to an individual, the more likely they are to have developed at least a cursory impression and are able to convey this to the group.

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1 for instrument). Each focus group ran for approximately  $1\frac{1}{2}$  h, was video recorded, and professionally transcribed.<sup>4</sup>

The construction of each group reflected our desire for an environment that felt culturally friendly for each participant. Once in this safe environment, participants were presented with campaign finance reform information. As Morgan observes, "what makes the discussion in focus groups more than the sum of separate individual interviews is the fact that the participants query each other and explain themselves to each other" (1996, p. 139). Ryfe observes that participants "may argue, debate, or talk, but the clear pattern is that they prefer to tell stories" (2006, p. 73). As Black notes, within groups, storytelling begets dialogue, which likely helps individuals within the group overcome any interpersonal differences that exist (Black 2008). Thus, group dynamics make a focus group an optimal setting to observe the formation of sense-making narratives. Our expectation was that an organic sense-making process would ensue whereby the group would form a narrative situating the newly acquired information within their common culture. Given our expectations, our methodological concerns required a specific moderating style.

Morgan (1996) describes two basic approaches to moderating focus groups: a more structured environment imposes the researcher's interest while less structure allows the group to pursue its own interests (p. 145). Ryfe finds that in a deliberative setting the "open and relaxed approach of facilitation is the most likely to engender more storytelling on the part of participants" (2006, p. 75). Our aim was the latter and the moderator for each group was given instructions to provide as little guidance as possible. Instead, the moderator asked a limited set of pre-scripted questions and introduced the information on campaign finance reform to the participants (see Appendix 2). This information included basic facts about campaign finance law in the United States, statistics on who gives to campaigns, and also described a proposed government-sponsored overhaul of the campaign finance system aimed at reducing the impact of private money on elections. Outside of those functions, the moderator was to let the participants do "the hard work of establishing who they are in relation to others" (2006 p. 75). This meant that the moderator did not convey the exact same information at the exact same time to each group (Table 1). As such, both network and traditional statistical measures are utilized to compare the group narratives prior to the introduction of information. Comparisons between pre- and post-information period are undertaken within group only, not across groups, and do not employ traditional statistical measures.

#### 324 **4.2 Methods**

This study employs a deductive coding scheme to analyze narrative differences between the four focus groups. We rely on previous NPF codebooks to guide our coding of the transcripts (see Shanahan et al. 2018b, c). The texts were coded for characters (consisting

<sup>&</sup>lt;sup>5</sup> Note that such an approach contrasts the more conventional way to conduct focus groups where efforts might be made to moderate or divert a few dominant focus group participants (e.g. Krueger and Casey 2000; Morgan 1996, 1997) from dominating the conversation. Indeed, such forceful personalities were allowed to thrive in our focus group environment, when they emerged, as they were likely to be powerful cultural foils for the other focus group participants.



<sup>&</sup>lt;sup>4</sup> These data were collected in conjunction with the Cultural Cognition Project at Yale University and the Edmond J. Safra Center for Ethics at Harvard University. We thank the Edmond J. Safra Center for Ethics for their generous grant which made collecting these data possible.

**Table 1** Percent similarity between pre- and post-information across focus groups

	Hierarchical- individualist (FG1)	Hierarchical- communitarian (FG2)	Egalitarian- individualist (FG3)	Egalitarian- communitarian (FG4)
Pre-information portion				
Hierarchical-individualist	1.0	0.708	0.661	0.616
Hierarchical-communitarian	0.708	1.0	0.861	0.856
Egalitarian-individualist	0.661	0.861	1.0	0.837
Egalitarian-communitarian	0.616	0.856	0.837	1.0
Post-information portion				
Hierarchical-individualist	1.0	0.925	0.925	0.780
Hierarchical-communitarian	0.925	1.0	0.925	0.883
Egalitarian-individualist	0.925	0.925	1.0	0.827
Egalitarian-communitarian	0.780	0.883	0.827	1.0

Computed using https://www.twinword.com/api/text-similarity.php

 Table 2 Coding framework and intercoder reliability

Coding category	Scott's pi
Characters: heroes, villains, and victims	
Advocacy group	0.666
Business	0.991
Private individual	0.973
Government	0.981
Money	0.978
Rights*	0.152
Moral or policy action	
No action by a collective of people (nongovernmental)	0.666
Yes action by a collective of people (nongovernmental)	1.0
No action by a governmental person or entity	0.987
Yes action by a governmental person or entity	1.0
Plot	
Plot is present*	0.245
Causal mechanisms (Stone 2012)	
Mechanical	0.961
Intentional	1.0
Accidental	1.0
Inadvertent	1.0

<sup>\*</sup>Scott's pi level does not meet the accepted threshold to be considered reliable



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of coding heroes, villains, and victims), policy solutions (moral of the story), and causal mechanisms<sup>6</sup> (Table 2).

In line with previous NPF research content coding strategies (e.g., Smith-Walter et al. 2016, 2018), two researchers coded the focus group transcripts at the paragraph level of analysis for the presence-absence (0 or 1) of each category with reliability tests for each coded item (Table 2). The coders achieved an acceptable level of reliability for each coding category. The data were then analyzed in two ways to understand narrative differences between focus groups. First, the Kruskal–Wallis nonparametric test was conducted to understand statistical differences in narrative components (due to the ordinal nature of group membership). Variables found to exhibit statistically significant differences were then compared, group by group, to identify group differences. Because this research applies CC and NPF to campaign finance within focus groups for the first time, the researchers took a conservative approach to identifying relationships by choosing the Bonferroni correction to guard against Type I errors due to the large number of repeated hypotheses being tested. Second, a network analysis was conducted to understand the centrality, density, and core/periphery elements of narratives. Taken together, the results illuminate how groups constructed narratives before and after receiving information on campaign finance. 8

#### 345 5 Results

In many respects, groups had similar opinions, such as being pessimistic about the state of the country and negative feelings towards government, but their narrative constructions differ when examining characters, policy solutions, and, to a certain extent, causal mechanisms, the building blocks of what constitutes a policy narrative (Shanahan et al. 2018a). Consistent with our expectations, a unique narrative emerged from each focus group. We reveal our findings with a network analysis and more detailed node-level explorations of characters, plot, and causal mechanisms.

<sup>&</sup>lt;sup>9</sup> Some tangential observations are worth mentioning. No focus group discussed the topic of campaign finance in specific or technical policy terms. In fact, there was widespread confusion towards campaign finance policy in general. However, group members did speak passionately about their feelings towards elections, towards politicians and others, and used examples often to illustrate their points. Members made mention of current events, politicians and sports players to describe their feelings toward more general topics.



<sup>&</sup>lt;sup>6</sup> Plot was excluded from the analysis as it failed to achieve sufficient intercoder reliability (Scott's pi = 0.245).

<sup>&</sup>lt;sup>7</sup> Scores higher than .80 are generally considered acceptable (Lombard et al. 2002).

<sup>&</sup>lt;sup>8</sup> To address the possibility that a few strong personalities exerted disproportionate influence on the composition of the group narrative Spearman's Rho was utilized to correlate the CC score of each individual with the percentage of narrative elements that individual contributed during the discussion. CC scores computed for 37 participants ranged from 10 to 18, with a median score of 15. The individual contribution was computed by dividing the total number of coded narrative elements the individual used divided by the total number of narrative elements identified in the focus group (pre- and post- calculated separately). The correlation coefficient for the pre-information focus group conversation was .112, with a 1-tailed significance of .254 (p < .05). The coefficient for the post-information portion was .089 with a 1-tailed significance of .299 (p < .05). Therefore an individual's cultural cognitive score and desire for reform did not result in increased contribution of elements to the group narrative.

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 Table 3
 Density measures for focus group's narrative network

	Avg. value	Total ties	SD	Avg. wtd degree
Hierarchical-individualist (FG1)				
PRE	0.159	168	0.980	5.091
POST	0.237	250	0.785	7.576
Hierarchical-communitarian (FG2)				
PRE	0.095	100	0.506	3.030
POST	0.136	144	0.568	4.364
Egalitarian-individualist (FG3)				
PRE	0.04	42	0.375	1.273
POST	0.061	64	0.282	1.939
Egalitarian-communitarian (FG4)				
PRE	0.191	202	0.861	6.121
POST	0.064	68	0.389	2.061

#### 5.1 Pre-information narrative network comparison

We begin by comparing the narrative networks from the focus group discussion *prior to the introduction of specific campaign finance information*. Since we want to discover if different narratives emerge from the groups (RQ1) we deploy social network analysis (SNA) to map and compare the characteristics of the narrative generated by each CC focus group. The analyzed networks were generated using the affiliation command in UCINET 6 for Windows which transforms a two-mode dataset (rows representing coded text and columns representing narrative elements) into a 1-mode adjacency matrix where the value of each cell reflects the number of links between each narrative element and all other narrative elements using the sums of cross-products. Each node represents a narrative element (heroes, villains, victims, policy solutions, or causal mechanisms). Larger nodes indicate greater degree centrality of a node (narrative element) in the network. For instance, the hierarchical-individualist pre-information narrative network map identifies a *private individual* as a villain with 20 links. The node is larger than the node for *money* which has 2 links. Links between the nodes represent instances where an element co-occurred with another element (in one coded paragraph). The darker lines indicate a greater frequency of co-occurrence.

The density measure for each focus group's narrative network was computed. Density is a measure that conveys the general level of connectivity between the nodes in the network and is calculated by dividing the total number of dyadic ties present in the network by the total number of all possible ties in the network (Yang et al. 2017, p. 58) (Table 3).

Prior to information dissemination by the moderator, we see egalitarian-communitarians have the densest network (19.1%), followed by the hierarchical-communitarians (15.9%). Hierarchical-communitarians and egalitarian-individualists demonstrate much lower narrative network density measures of 9.5% and 4.0% respectively. To discover whether the differences are statistically significant, we utilized the compare densities function (paired networks in UCINET 6) with 10,000 bootstrapped samples and found the hierarchical-communitarian network was significantly denser than the egalitarian-individualist network by 11.9% (Sig. 0.030 p < .05). Hierarchical-communitarian network density was significantly less dense then the egalitarian-communitarian one, by 9.7% (Sig. 0.045 p < .05). The egalitarian-individualist network was significantly less dense than both the





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Table 4 Statistical significance of density between group comparisons (pre-information)

	Hierarchical- individualist (FG1) PRE	Hierarchical-com- munitarian (FG2) PRE	Egalitarian-indi- vidualist (FG3) PRE	Egalitarian-com- munitarian (FG4) PRE
Hierarchical-individu- alist PRE	(-)	(-)	(-)	(-)
Hierarchical-communitarian PRE	Dif. 0.064 Sig. 0.089	(-)	(-)	(-)
Egalitarian-individual- ist PRE	Dif. 0.119* Sig. 0.030	Dif. 0.055 Sig. 0.079	(-)	(-)
Egalitarian-communi- tarian PRE	Dif. – 0.032 Sig. 0.514	Dif. – 0.097* Sig. 0.045	Dif. – 0.152* Sig. 0.011	(-)

<sup>\*</sup>p < .05, Bootstrapped 10,000 iterations

hierarchical-communitarian and egalitarian-communitarian narrative network densities, the latter by 15.2% (Sig. 0.011 p < .05) (Table 4).

Density differences are important pieces of information for understanding how CC and the NPF combine to generate insights into narratives for at least two reasons. First, density may represent a cognitive foundation amenable for future information incorporation (more on this below). It is the contention of both the NPF's model of individual cognition (see Shanahan et al. 2018b, c, pp. 179–183) and CC that existing beliefs and identity commitments impact the assimilation of new information, so understanding the embeddedness of relationships between existing narrative elements could be vital to anticipating the likely power of a particular narrative to persuade a given group. Second, the density of a narrative network may indicate a more cohesive story, with greater ties between narrative elements indicating connections between concepts, or at least the existence of more elements the audience can identify with. This may represent an approach to exploring *narrativity*, which is the notion that more complete stories are more persuasive (e.g., Crow and Berggren 2014).

If the density of the narrative networks varies between cultural groups, what might this mean for the study of narratives? We can begin by generating network maps for each of the groups. The network diagrams (Fig. 2) show that differences in density translate to "fuller" graphs for hierarchical-individualists and egalitarian-communitarians, as more nodes are connected than in networks assembled by hierarchical-communitarians or egalitarian-individualists.

In Fig. 2, the size of a node is directly related to the number of ties it has to other nodes (degree centrality). Darker lines indicate more instances of connection existing in the same paragraph. Larger nodes and darker lines help identify a distinction between network core and periphery. The core/periphery measurement identifies nodes which constitute a "community" with dense connections to other nodes and which have sparser connections with nodes not in their community (Rombach et al. 2014). The core was identified using the MINRES algorithm and the continuous function with 1000 iterations. The core is important for using SNA to analyze policy narratives because it brings to the forefront those narrative elements that are most frequently employed together while simultaneously illustrating the elements' connectedness to the entire network. UCINET 6 determines the core measures for each node in the network by comparing its structure to an idealized core/periphery block where several nodes with direct and dense ties exist without ANY connections to other nodes in the network. If the network



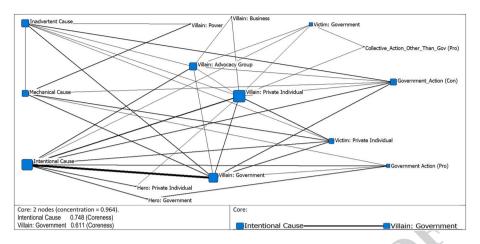


Fig. 2 Focus group 1 (hierarchical-individualist) pre-information network map

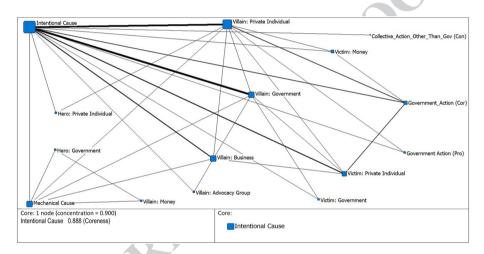


Fig. 3 Focus group 2 (hierarchical-communitarian) pre-information network map

under investigation matches this model exactly, values for core nodes will equal 1.0, and nodes that demonstrate more "coreness" return values closer to 1.0 (Everett and Borgatti 2005). If we find that the four narrative cores contain different elements and links, we can consider that

differences in CC may contribute to the structuring of narrative networks (Figs. 3, 4, 5).

Drawing on these diagrams, and the density, centrality, and core/periphery measures applied to the four pre-information narrative networks, we can easily identify the similarities and differences between the story structures.

#### 423 5.1.1 Causal mechanisms

- 424 Previous studies have found many narratives use intentional causal mechanisms, as villains 425 are highlighted as an entity to combat (Shanahan et al. 2014). Below are examples of how 426 these mechanisms arose in the focus group narratives.
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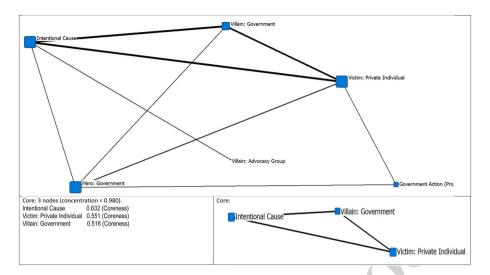


Fig. 4 Focus group 3 (egalitarian-individualist) pre-information network map

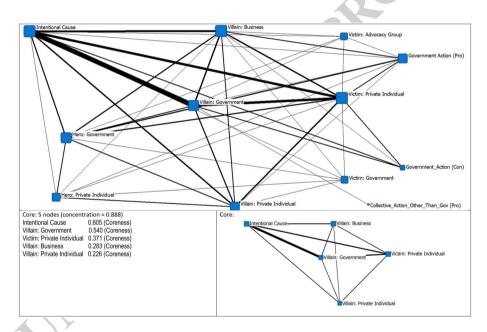


Fig. 5 Focus group 4 (egalitarian-communitarian) pre-information network map

427 *Intentional* policymakers might be accused of making policies to increase their personal wealth.

429 Inadvertent the American Recovery and Reinvestment Act of 2009 might be explained 430 as having raised inflation.

431 *Mechanical* a bad policy might be explained as resulting from an unthinking 432 bureaucracy.



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Accidental fluctuations in the price of commodities due to the weather.

One interesting aspect revealed by the narrative network maps is that each group expressed that campaign finance was a problem caused by intentional action to accomplish desired goals. That this emerged from all groups may indicate an understanding that the system of campaign finance is a product of human construction, maintenance, and manipulation. Unlike harm caused by natural disasters (accidental cause), machines (mechanical) or carelessness (inadvertence), injury caused by the campaign finance system is seen by all as intentional. This lack of ambiguity renders "the problem" of campaign finance amenable to a policy solution (Stone 2012), which is supported by extant campaign finance literature (e.g., Jorgensen et al. 2018). It is also important to note the HI, EI, and EC narratives connect the network nodes *Intentional Cause* and *Villain: Government* in their network's core. Government does not occupy this key role in the core of hierarchical-communitarians. Perhaps HC's are simply more favorably disposed toward the federal government (by virtue of their high grid and high group scores) and thus unwilling to craft a story with government as a core villain.

The final element in the pre-information narrative network core shared by EC and EI groups is private individuals as victims.

EI: "Yeah, and then they're taking it down on us regular folk, and bumpin' up our property taxes, you know?"

EC: "But it's just going to destroy a lot of middle-class families when you say, "Hey, you know, it's illegal to have, you know, unions." And we called our politicians and you'd think that they would listen to you. And I know we have republicans and democratic teachers that were calling and you know, and fireman and whatnot. And they absolutely said, "We don't care. This is what the big money people want us to do. So, we're just going to ignore your vote."

With the use of "regular folk" and "middle-class families" both groups associate the intentional exercise of power by malevolent government (in the case of EC's), businesses, and private individuals with harm done to average Americans. This construction, also manifesting along the grid-dimension, suggests egalitarians of both varieties generate more cohesive campaign finance stories prior to possessing policy-relevant information. This may be due to factors related to the universe of characters in these stories, and to these characters we now turn.

#### 465 5.2 Characters

Coded character categories include heroes (government, private individuals, and advocacy 466 groups), villains (government, private individuals, advocacy groups, business, and money) 467 468 and victims (government, private individuals, rights, and business). Given the CC quadrants defined above, we would expect that focus groups situated on the individualist side, 469 i.e., hierarchical-individualist and egalitarian-individualist, would cast heroes as private 470 individuals, villains as government and advocacy groups, and victims as private individ-471 uals. Similarly, we would expect communitarian focus groups, i.e., hierarchical-commu-472 nitarian and egalitarian-communitarian, would cast heroes as government and advocacy 473 groups, villains, as private individuals, business, and money, and victims as government. 474 However, the pre-information narratives generated by the four different groups failed to 475 demonstrate any statistically significant differences between ANY heroes or victims (see Table 5). When we narrow our attention to the narrative core, we don't find ANY heroes



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and only the aforementioned private individual as victim in EI and EC cores. These particular stories are driven by villains. Since the focus groups dealt with campaign finance law, and villains like government and corporations were key to building a story about the issue, it is not surprising that the HC group didn't generate a more cohesive network; intentional causes require villains and the likely villains in campaign finance reform are manifestations of entities hierarchical-communitarians tend to admire.

#### 484 5.2.1 Heroes

Heroes are those characters who are cast as those who will fix the problem. The complex-485 ity of identifying heroes as individuals or government lay in that an individual must work 486 within the system to affect change. For example, one member of focus group 1 (HI) rec-487 488 ognized citizens' capacity to stand up and make a difference (individualism) but situated this individuality within the community of the tea party: "We're grassroots, we're not a big 489 corporation, we're just people wanting to make a change. And that seems like that would 490 influence the whole corruption big money." As the network maps show, while each cultural 491 narrative identified heroes that could be situated on opposite ends of the Group continuum, no hero was central enough to the story of campaign finance to exist in the narrative core. 493

#### 494 5.2.2 Victims

The victim is the character who suffers at the hands of the villain. The primary victims for 495 campaign finance were the government and the private individual. For the members of the 496 HI group, corporations play a role like that of individuals, valuing freedom and choice for 497 them as well. "They made it sound like corporations, the people, who spend a lot of money 498 in elections is a bad thing. But do we ever stop and think, why do we tax corporations? 499 Corporations shouldn't have to pay money...what you end up doing is you stifle growth." 500 As one HI member said, "...in the society where we're basing our life on freedom and you 501 start telling people what they should and shouldn't do with their money, which can be a lot 502 farther reaching than any of us realize." The hierarchical-communitarian and egalitarian-503 communitarians victims tended to center on money's threat to the public interest. "...who-504 ever puts in the most money...they're going to end up on Saturday Night Live...and that 505 is going to influence the public, and then really in the long run their guy is going to get in, 506 and I feel powerless when I hear that kind of thing." As with heroes, no statistically signifi-507 cant differences in frequency of victims arose between the four groups.

#### 509 **5.2.3 Villains**

Villains are the characters responsible for the problem and it is here the differences between 510 the CC groups begin to acquire more resolution. Participants in the focus groups devel-511 oped narratives that spent considerable time expounding on villains. Focusing on the gov-512 ernment as villain across the entire network (not simply examining the core) by using the Kruskal-Wallis H test, we reject the null hypothesis of no difference between the groups 515 (p = .036 < 0.05). Digging deeper, we see that only two groups' use of government as villain were statistically significant. Applying the Mann-Whitney U test to the four pairs, we 516 find that statistically significant differences are only found between hierarchical-communi-517 tarians and egalitarian-individualists (p = .009 < 0.01). The test also found that power as a villain (i.e., power corrupts) demonstrated a significant difference between groups (8.581,



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	Kruskal-Wallis H	Dţ	Asymp. Sig.	FG1-FG2 Sig.	FG1-FG3 Sig.	FG1-FG4 Sig.	FG2-FG3 Sig.	FG2-FG4 Sig.
Villain: government	8.571	3	.036*	920.	‡600°	.579	.617	.204
Villain: power	8.581	3	.035*	.141	990.	620.	1.00	1.00
Mechanical cause	18.317	3	*000	890.	.003	\$000°	.212	.233
Intentional cause	21.528	3	*000	<sup>‡</sup> 000.	.002 <sup>‡</sup>	.038	.120	.020
Accidental cause	83.213	3	*000	*000°	.274	1.00	‡000°	‡000°
Inadvertent cause	8.581	3	.035*	.141	990.	620.	1.00	1.00

<sup>\*</sup>Statistically significant at the p < .05 level

Statistically significant at the p < .01 level using Bonferroni Correction on Mann–Whitney U test

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p = .035 < 0.05) at the p = 0.05 level, but the stricter p value of 0.01 required by the Bonferroni correction when comparing the four groups individually to one another, failed to identify a significant difference between them. 10 Concerning villains in the pre-information stage, Grid is the operative scale here, as the HI group demonstrated more instances (n=29) than the EI group (n=10) painting government as villain. The HI focused on badnatured individuals in government, and not the system as a whole. "...the politician in office has such a tremendous advantage because they can squeeze to get the money. They know how to say, 'yeah, we'll talk about that after your donation." In contrast, when HC narratives did identify government as villain, it was because individuals in government were not acting in the public interest. "Well, right now they are not living up to the role because they are not solving issues before them and it's very, very divisive. It's very, very political. And so they are not performing the role that they have or should be doing." These distinctions provide support for CC's theory that HI's, "Marlboro men" will be extremely critical of external forms of authority infringing on their own domains of organized social relations.

However, when we consult the narrative network map, we see that despite the lack of statistically significant differences demonstrated in by the Kruskal-Wallis H and Mann-Whitney U tests, three of the four groups (HI, EI, and EC) identified the government as a villain in their network core. The coreness measure was 0.611 in HC narratives, 0.540 for EC, and 0.516 for EI. Recalling that the core measure is derived from comparing the matrix under investigation with an idealized core model (of 1.0), these values indicate that the coreness of these measures is not slight. The government as villain achieved a coreness score of only 0.287 in the HC narrative, which shows that the HC group tended not to blame government, supporting CC's theoretical stance that HC's will generally value structure and authority.

These findings indicate that all groups identify the problems arising in campaign finance as generated by intentional human action, and all core narratives, excluding hierarchicalcommunitarians, lay at least some of the blame at the feet of the government. We can see that a villain that is accessible via existing cultural cognitive understandings allows the formation of more cohesive stories absent additional information. Hierarchical-individualism opposes the imposition of federal government on their local forms of hierarchy, egalitarianindividualism resents what they see as government waste and incompetence demonstrated in the statements like, "I don't understand why Congress people are receiving a paycheck, when they haven't obviously passed our previous [sic], the budget?" Egalitarian-communitarians, on the other hand, have a more complex relationship that seems to emphasize the intersection between politicians and business interests, as seen in this statement, "But you see it happen every single time a president comes in and then when he leaves office the company that gave him the most money he becomes a consultant. Probably doesn't show up but just, you know, to give a speech and he makes a million dollars a year for, you know, the next 20 years or whatever as a consultant, you know, to that organization that gave him all that money."

<sup>&</sup>lt;sup>10</sup> When using the Kruskal-Wallis H, the non-parametric equivalent of ANOVA, the initial result will simply indicate whether there is a statistically significant difference among the several categories tested. If a significant difference is discovered, further analysis of the differences between each of the groups using a Mann–Whitney U test is recommended. However, as the original significance value is p < 0.05, then this p value needs to be divided by the number of group comparisons to establish the 0.01 value for the results of the Mann–Whitney U test, this is known as the Bonferroni correction.



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To summarize the findings related to the relationship between characters and plot we could describe the initial formulation for HI's narrative as "government as corrupt", for EI's "government as incompetent", and for EC's as "government as co-conspirator". HC's have no initial core, since they apparently are not wont to demonize government, though they too recognize the problem as within human ability to control.

#### 5.3 Policy actions or moral of the story

We operationalized the NPF's moral of the story as policy actions. For example, a pro-567 government action solution was voiced in the EI narrative when it was stated that "The 568 government that is there for the people, they should step in and say, 'Hey, this per-569 son who can't afford three hundred a month, should not be given thirteen thousand"; a 570 con-government action solution would have expressed opposition to such a statement. 571 A pro-collective action other than government policy solution called for people to work 572 together in the sphere of civil society to address the issue of campaign finance. A state-573 ment made in the EC focus group is indicative this type of solution, "I mean, this makes 574 sense to me because, you know, you do fundraisers and you might get 100 people some-575 where and ya'll donate \$200. You do that all over the country and you can fund them 576 like that." While four policy actions were coded, none demonstrated statistically signifi-577 cant differences using the Kruskal-Wallis H test: statements indicating support for gov-578 ernment action (.989 > p. 05) against government action (.131 > p. 05) as well as state-579 ments advocating/opposing non-governmental or collective action by citizens (.109 > p)580 .05/.183 > p. 05). This result is, in a certain respect, not surprising since none of the 581 focus groups identified any hero in the narrative core to undertake corrective action. It 582 is important to note this changes when comparing the pre-information narratives to the 583 post-information narratives. 584

#### 5.4 Post-information narrative structure within focus group comparisons

Moving now to the post-information analysis, it is important to remind the reader that the 586 comparisons that will be made for the remainder of the article contrast pre-information 587 narrative structure with post-information structure within individual CC focus groups. The 588 moderator encouraged groups to range fairly freely in their discussion, and thus informa-589 tion provided to individuals prior to presentation of policy relevant information on cam-590 paign finance and the Grants and Franklins project (a government-based campaign finance 591 reform policy) was not identical. However, handouts provided to each participant were 592 identical, and the moderator provided three similar hypothetical arguments, one in favor 593 and two opposed to the Grants and Franklins project (see Appendix 2). Comparing the 594 post-information network of any group with its pre-information network is a way to assess 595 how the introduction of new information impacted the development of each CC narrative. 596 We begin by discussing changes in overall network measures. 597

We see the network density for the EC group was significantly reduced by providing more information, while the other groups showed no significant change (see Table 6). This is surprising since the density of the EC narrative network was highest prior to receiving the policy relevant information. Why this group should display such a significant drop is an interesting question, and one that will be explored in more detail below (Figs. 6, 7, 8, 9).



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Table 6 Statistical significance of density within group comparisons (pre/post information)

	Hierarchical- individualist (FG1) PRE	Hierarchical-communitarian (FG2) PRE	Egalitarian-individualist (FG3) PRE	Egalitarian-com- munitarian (FG4) PRE
Hierarchical-individualist POST	Dif. 0.078 Sig. 0.113	(-)	(-)	(-)
Hierarchical-communitarian POST	(-)	Dif. 0.042 Sig. 0.309	(-)	(-)
Egalitarian-individual- ist POST	(-)	(-)	Dif. 0.021 Sig. 0.400	(-)
Egalitarian-communi- tarian POST	(-)	(-)	(-)	Dif. – 0.127* Sig. 0.024

<sup>\*</sup>p < .05, Bootstrapped 10,000 iterations

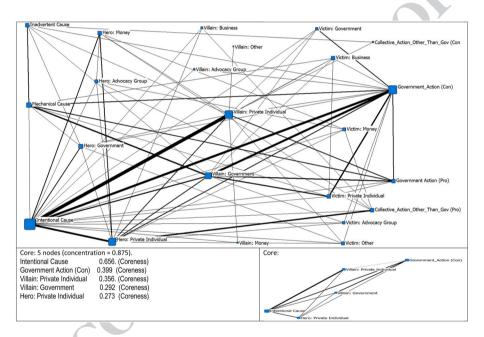


Fig. 6 Focus group 1 (hierarchical-individualist) post-information network map

#### 603 5.5 Characters

#### 604 5.5.1 Heroes

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The post-information narratives feature heroes in the core of HI and EI groups. In both cases, the heroes were, as the group dimension of CC would suggest, private individuals.

**FG1 (HI):** "The thing that, the thing we most need to understand is our founding fathers had a reason why they set up everything the way they set out to do and really quite brilliantly... But they also allowed us to spend whatever money, each person, each corporation wanted to at that time because that's another way



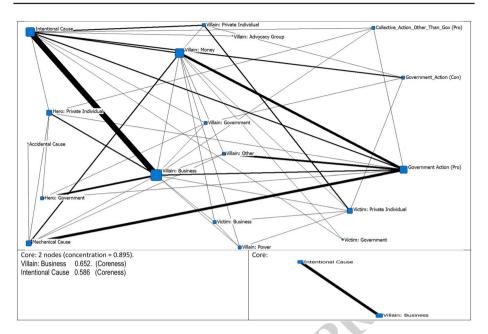


Fig. 7 Focus group 2 (hierarchical-communitarian) post-information network map

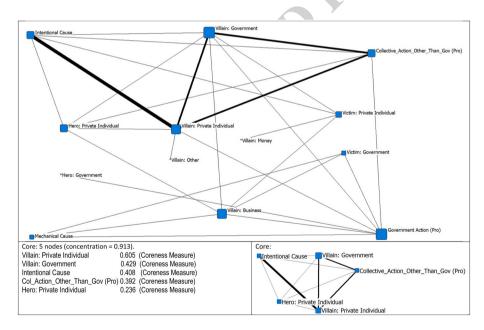


Fig. 8 Focus group 3 (egalitarian-individualist) post-information network map

to make...almost like the three different branches of government, how they all work together. So you're not just having the voting public, whether it's a ignorant vote or whatever making their vote based upon whose pretty or who says the



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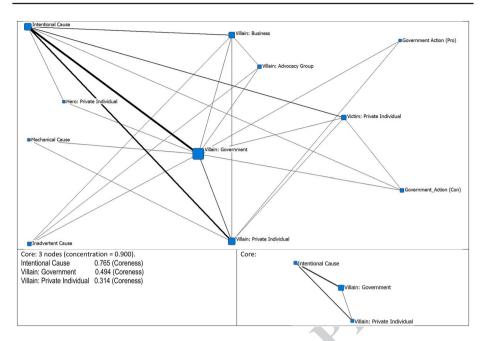


Fig. 9 Focus group 4 (egalitarian-communitarian) post-information network map

right thing. You got some people that if you got money, not very often do you get because it's lucky. You get it because you got some kind of intelligence and that helps guide that somewhat.

FG3 (EI): Okay, but to me, what was good about that is, he was out among the people. Had been for a long time, and reflected a most of the state. I'm not saying everyone, I'm not even saying me, but a huge portion of our state. Without massive corporations backing him. Like one corporation getting him in. And if somehow that could play a part on a national level, where, your guy reflected the majority of the people, and somehow those people contributed, or voted, where who actually got in reflected us, not just one corporation, that's ideal.

The private individual is employed differently, with the HI narrative equating smart individuals with rich individuals and portraying their contributions as a check on ignorant voters. The private individual, for the EI, is a person who works in the system for the good of the majority. This provides support for using CC to understand narrative differences, as both focus on the individual as an agent of positive change. The HI narrative is animated by the idea that an individual success in the economy should translate into greater influence in selecting national leaders, via campaign donations. EI stories do not focus on the distinction between intelligent and ignorant participants in the political process, but on the elected individual actually representing the will of the majority, while eschewing the unequal influence of concentrated economic power. These changes in narrative could be explained by the difference in grid influencing the nature of the hero employed in high- or low-grid worldviews and the high- or low-group aspect influencing whether a hero even enters the core narrative.



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37 5.5.2 Victims

No victims were found in the narrative core of any group in the post-information portion of the focus group. The EI and EC core didn't carry the private individual as victim over from their pre-information core. It is unclear what this might mean, but it may be that moving from the pre-information stage where the dialogue was conditioned more so by the influence of the variation in CC worldviews toward a discussion of a specific policy proposal sharpened the focus to groups that were unlikely to be viewed charitably by egalitarians.

#### 645 5.5.3 Villains

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The post-information portion of the focus group lead to a substantial change in the villains found in the narrative cores of each of the four groups. The hierarchical-communitarian narrative core retained government as a villain, but it was less of a core node
than the new villain, private individuals. The crux of this villain was a direct response
to the Grants and Franklin project's call for the first \$50 of federal taxes paid by each
voting-age citizen to be transformed into a "democracy voucher" that individuals could
direct to the politician(s) of their choice or elect to have it spent on voting infrastructure
if they did not approve of any candidate.

**FG1** (**HI**): Overall I don't see a clear connection between, you know, campaign finance and how politicians get to Washington to the problems that we have because you know, whether a politician, you know, got there on a string bean budget or Kennedy type budget, if they're corrupt, they're corrupt. And they reflect the people in their district. So they're [sic] the people in their district are freedom loving and gun totting or whether they are in the North and like to drink their tea with their pinkies up. The congressmen are going to reflect the values and the morals of their constituents and...

The HI narrative emphasizes that the major problem is that individuals are likely to take advantage of the system and many of the citizens are generally flawed. Again, CC helps to explain this emergence, since many of the reasons the HI group opposes the initiative relates to the need to check the excesses of democracy.

The rise of a new villain also occurs in the narrative core of the hierarchical-communitarians. This villain emerged from the detail in the Grants and Franklin proposal that allowed politicians to opt-out of the system and raise their money from private donations. This generated a consensus that businesses would oppose the system and that public finance couldn't overcome corporate money without additional restrictions on corporate behavior.

FG2 (HC): But, these big corporations like Halliburton, that get all the government contracts have got to know that there's millions and millions of dollars for campaign funding. And, if they just said, "Stop, no more, and if we catch you doing it, here is the penalty."

This call is also in keeping with what CC would predict from hierarchical-communitarians, the call for public authorities to establish rules and regulations that would advance the public good and bring order to the process.



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The Egalitarian-Individualist (FG3) narrative core also featured a new villain post-information: the private individual. This villain was, as in the hierarchical-communitarian core narrative, the ignorant voter.

**FG3** (**EI**): But it just comes back to that same whole ignorance thing, that if people don't know, and then they're bombarded with this message, and how many of us really, when we have this coverage? I know I did. I'm sorry, I do the research on the candidates, I do the research on the bills, cause' I'm around to care. I don't want it to just be a vote. You know? I don't want to be ashamed. Cause I know what I voted for. At the end of the day, it comes back and I voted for something I really didn't stand for or believe in, so I mean, I know that I'm going to know what I vote for. How many of the average American's that vote will?

This seems to indicate that individualists may have reacted to the plan to use public power to redistribute resources to level the playing field in campaign finance by casting doubt on their fellow citizens to wisely exercise this power. The egalitarian-communitarian narrative core did not add any new villains in the post-information stage.

#### 694 5.6 Policy actions or moral of the story

Neither egalitarian-communitarian nor hierarchical-communitarian core narratives contained policy solutions. However, both hierarchical- and egalitarian-individualist core narratives employed a moral to the story. The solution preferred by the HI narrative was one that was promoting opposition to government action, the Grants and Franklin project specifically. This component relied on a distrust of the government and its power over individuals.

FG1 (HI): "This just seems un-American. It just doesn't seem like it would be in a free market society. I just don't see it working."

The egalitarian-individualist core narrative, instead of opposing government action, proposes that collective action outside of government proper can correct some of the weaknesses of the current campaign finance system and its impact on contemporary politics.

**FG3** (**EI**): And there's a lot of government responsible for that. So, as for Obama being the problem. It's years, and accumulation of years and years and years of being let down and the people, all of us, relying on the government, instead of being the government.

The use of network mapping and core and periphery measures provided evidence that each group's core narrative was impacted by the provision of policy-relevant information and a specific policy proposal.

## 6 Discussion: cultural cognition and the NPF

In all, we find that cultural cognition as a lens can provide interesting insights into the influence of culture on the completeness and density of narratives generated in a low salience policy area. To explicitly answer our first research question, groups holding exclusive CC worldviews will generate different narratives from one another, though the differences are not always that stark and there certainly are facets of congruence across narratives.



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We have statistical evidence in the form of Mann–Whitney U tests from the pre-information analysis that finds significant differences between all forms of causal mechanisms (Mechanical, Intentional, Accidental, and Inadvertent), and that hierarchical-individualists are more likely to use government as a villain than groups with a different CC orientation.

In addition to those statistical differences, we also observe variation by looking at the network maps of the pre-information narratives. Coupled with the density measures, we see that the intentional cause occupies a position in each and every group's narrative core. However, the H-C (FG2) narrative core isn't comprised of any concept other than an intentional cause, and the H-I (FG1) adds only a villain in the form of Government. However, egalitarian groups (E-I and E-C) both displayed more developed networks of narrative components, with Private Individuals playing the victim in both groups' narratives. E-C (FG4) had an even more robust group of villains, featuring Private Individuals and Business, in addition to Government. This multiplicity of villains may serve to bolster the "us versus them" dynamic which CC indicates animates this culture (Douglas and Wildavksy 1982). We also see that egalitarian pre-information narratives (E-I and E-C) both feature a victim, a feature lacking from the narrative core of either of the hierarchist groups (H-I and H-C). This seems to suggest that for those with a strong egalitarian culture, the identification and deployment of a victim is part of their narrative sense-making that differed from those with a strong individualist culture. We can therefore say, at least tentatively, that groups did produce narratives which differed in important ways from one another prior to the presentation of campaign finance information, including the Grants and Franklins Project reform policy solution.

Turning to our second research question, does the provision of additional information with regard to campaign finance reform result in changes to the structure of narratives produced in focus groups with homogenous CC worldviews? It seems that the answer is a tentative yes, as we saw that the core of each focus group did, in fact, change when new information was made available and the framing of the discussion became solidified in reaction to the Grants and Franklins proposal. However, in all cases, certain things did not change. For instance, in all pre- and post- groups, the problem was seen to be caused intentionally. In the HI, EI, and EC groups, government was portrayed as a victim pre- and post-information. While the HC group did NOT identify government as a core villain in either portion of the focus group. The EI narrative core featured private individuals as villains in both pre- and post-information, and so did the EC narrative core.

When looking for patterns in the changes in the narrative network core from pre- and post-information states, it is interesting to note that both narratives of the groups with a "low-group" worldview (HI and EI) moved from a less elaborate core to a more elaborate core, and that they shared four of the five elements in the post-information model. However, while the similarities are undeniable, the "coreness" of the elements, and the difference in the desired policy solution point to distinct narratives, though ones that share a familial resemblance. It is likely that this structure is one that emerges from opposition to the Grants and Franklins project, as government and private individuals were both villains and private individuals were also heroes. The distrust of government and other citizens may then be understood as an aversion to attempts to leverage public power to address social ills. Instead, the individualists try to deflect the use of governmental redistribution by decrying the loss of freedom of speech or by casting doubt on the ability of fellow citizens to effectively utilize the proposed resources.



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Looking at the communitarian side of the coin (HC and EC) we see that the "highgroup" cultures both fail to deploy a policy solution in their narrative core. It may be that the Grants and Franklins project was simply a culturally congenial solution they could endorse. If this was the case, the groups may have felt little need to focus on providing an alternative and could spend more time focusing on the reason to support the plan. This would explain the emergence of Business as a villain in the HC narratives.

The implications of our findings are several. Each group, presented with similar information about campaign finance policy in the United States, formed a narrative distinct from the others. These differences were discernable based upon a deductive coding scheme provided by the NPF and the fitting of that schema to the belief system approach of the Cultural Cognition Project at Yale University. From a theoretical perspective, our analysis shows how two approaches might be applied to better understand how individuals within groups leverage their core beliefs—and their parent cultures—to come to terms with complex information environments via narrative sense-making. But beyond ivory tower curiosity, why should we care? This leads us to our second and more important implication. What stands out to us is the stark differences in the stories told within each focus group, despite being presented with analogous information. The practical implications are not trivial. It is increasingly apparent that it can no longer be assumed that naked information is simply communicated objectively to relevant publics or individuals. Rather, their backgrounds, existing knowledge, affiliations, and their cultures must also be accounted for. While our study does not speak to how better to communicate important information to these populations, it does provide some insight into how culture and groups help individuals navigate complex information environments.

#### 7 Contribution of narrative network analysis to political culture 788

Finally, we would like to close with some broader observations about the integrating CC theory with an NPF-based narrative network analysis and how it potentially benefits the study of political culture more generally. People tell stories to make meaning of their world, regardless of the political culture typology that one might employ. As such, an NPF-based narrative network analysis can easily travel across cultural approaches. Another advantage of the narrative network analysis is that it manages to address a problem that exists when studying political culture, which is the precise location of culture. Is it carried by the individual? Does it manifest in family units, work locations, political parties? Is the state (or nation) the host of culture? More to the point, regardless of where the culture is thought to reside, the interaction between social units of divergent cultural commitments is likely to be a source of conflict. Since this study utilizes CC measures to categorize individuals and then analyzes the narratives which emerge from the interaction within the group, we can see how differing cultures can help to shape the stories by which individuals make sense of a policy problem. While this approach does not explore the inherent power dynamics that would exist within other social units (such as the family or the workplace), it does take a step toward recognizing the role of the group and the power of homogenous cultural values in generating distinct narrative structures.



Dispatch : 8-5-2019

### 806 Appendix 1

Appendix A	
RESPONDENT NAME:	
SCREENER	
Hello, my name isof {INSERT ORGANIZATION NAME}, a local opinion research	h
company. We are conducting a research project among a group of people in the {INSERT	
CITY) area. This is a very brief survey to determine if you are qualified for this research (1	DC
NOT PAUSE)	
1. What is your age?	
Under 18TERMINATE	
18+continue	
2. Now I want to ask you a question about campaign finance reform. Would you say that	ιt

The way in which congressional campaigns are currently financed and paid for is in serious need of reform.

vou strongly agree, agree, mildly agree, mildly disagree, disagree, strongly disagree or

Strongly Disagree	TERMINATE
Disagree	TERMINATE
Mildly Disagree	TERMINATE
Mildly Agree	continue to 3
Agree	
Strongly Agree	
Don't Know	<b>TERMINATE</b>

don't know about the following statement:

3. **Lead-in:** People in our society often disagree about how far to let people make their own decisions. For each of the following statements, please tell us whether you disagree

or agree.

#### {Random Order}

**IPROTECT:** It's not the government's business to try to protect people from themselves.

**IPRIVACY:** The government should stop telling people how to live their lives.

**IINTRSTS:** The government interferes far too much in our everyday lives.

**CHARM:** Sometimes government needs to make laws that keep people from hurting themselves.



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**CPROTECT:** The government should do more to advance society's goals, even if that means limiting the freedom and choices of individuals.

**CLIMCHOI:** Government should put limits on the choices individuals can make so they don't get in the way of what's good for society.

#### **Scoring:**

*I:* Agree -1 / Disagree +1 *C:* Agree +1 / Disagree -1



- 4. **Lead-in:** People in our society often disagree about issues of equality and discrimination. For each of the following statements, please tell us whether you disagree or agree.

{Random Order}

**HEQUAL:** We have gone too far in pushing equal rights in this country.

**HREVDIS2:** It seems like blacks, women, homosexuals and other groups don't want equal rights, they want special rights just for them.

**HFEMININ:** Society as a whole has become too soft and feminine.

**EWEALTH:** Our society would be better off if the distribution of wealth were more equal.

**ERADEQ:** We need to dramatically reduce inequalities between the rich and the poor, whites and people of color, and men and women.

**EDISCRIM:** Discrimination against minorities is still a very serious problem in our society.

#### Scoring:

E: Agree -1 / Disagree +1 H: Agree +1 / Disagree -1



E: Bottom Quartile (-4 to -6).......continue to 5
E: Middle Quartile (-1 to -3)......TERMINATE
Zero......TERMINATE
H: Middle Quartile (+1 to +3).....TERMINATE





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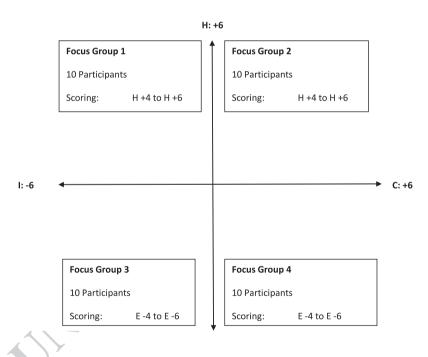
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H: Top Quartile (+4 to +6).....continue to 5

#### 5. INVITATION IF INDIVIDUAL MEETS RECRUITING CRITERIA:

Thank you for answering all of my questions. You're eligible to participate in the discussion group. We have openings {INSERT DATE} at pm. The discussion should last about an hour. As a token of appreciation for helping us in our research efforts, you will receive a cash gratuity of \$75. Can we schedule you to attend?

#### We want four focus groups where each of the following combinations are represented:



## Appendix 2: Campaign finance focus group discussion guide

Welcome Good evening and welcome to our discussion session on campaign finance. I'd 810 like to thank you for taking valuable time out of your evening to participate in our session. You have all been selected because you showed some interest in how campaigns are 812 financed. My name is Michael Jones. I'm currently with the Edmond J. Safra Center for Ethics at Harvard, but just recently finished up my doctorate in political science at the University of Oklahoma. I will be facilitating the discussion this evening.



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Ground rules The ground rules for our discussion today are pretty straight forward. As you all know, the discussion needs to be civil but everybody should feel inclined to speak freely. Additionally there are no right or wrong answers and everyone's opinions and thoughts are valued here. I also ask that everyone turn their cell phones to silent for the next hour and a half and that we do not text during the session. (Note where the bathrooms are located).

Additionally, it is important for everyone to know that you are going to be recorded during this session. Although we won't be talking about anything sensitive tonight, it is also important for you to know that nothing you say will be attributed to you directly. Everything will be kept anonymous so, please feel comfortable to speak your mind.

#### 825 Discussion questions

- 826 1. Introductions
- 827 2. In one word, how would you describe the state of the country?
- 828 3. What role do you think money plays in campaigns?
- 829 4. Tell Me a Story about Campaign Finance
- a. As you understand it, what are the rules about donating to campaigns?
- b. Is there anyone hurt by this process?
- c. Who are the bad guys here?
- d. What are the solutions? What sorts of reform should be advocated?
- 834 5. Give Participants the handout
- a. The Facts: Page 1-2
- b. The Grant and Franklin Proposal: Page 3
- c. Some Responses to a few messages about the proposal: Page 4
- 838 6. Bonus topic:
- a. Disclosure: What about increasing transparency so that the American public knows who is sending the money and making the advertisements. Is this enough?
- 7. Closing Statement: I would like to thank everybody for participating and remind everybody that their comments will remain anonymous.
- 843 Campaign Finance: In a Nutshell (Group 1, June 6th, 2011)
- Candidates must disclose all donations over 200 dollars.
- Certain groups can't donate directly to candidates:
- 846 Corporations
- Labor organizations (unions)
- Foreign Nationals
- Federal Government Contractors
- However, Labor and corporations may create a Political Action Committee (PAC) to
   raise money for candidates.
- Political Action Committees are an organization designed to help get candidates elected,
   advocate for a specific issue, or advocate for a specific law or legislation.



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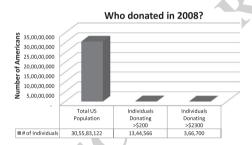
Independent Expenditures Corporations and unions may essentially use unlimited
amounts of funds to run advertisements for a specific candidate, provided they are not
coordinated with the candidate.

Donation Limits it's a little more complicated than this, but for starters:

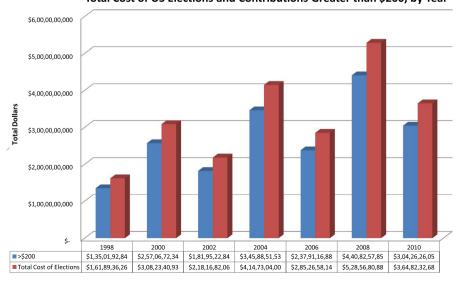
	Candidate per election	National political party, per year	State and local political party, per year	Any other political committee per year	Other
Individuals	\$2500	\$30,800	\$10,000	\$5000	\$117,000 per 2 years total
PACs	5000	15,000	5000	5000	No limit

How many Americans donate in Elections?

861 • Less than 1% (0.44%) of Americans donate \$200 or more; 0.12% donate \$2300 or more.



Total Cost of US Elections and Contributions Greater than \$200, by Year



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Description of the Grants and Franklin Project provided to Focus Groups

We start off with the fact that nearly every registered voter in the United States pays at least fifty dollars of federal tax.

So, that fifty dollars would be your first fifty dollars in tax dollars.

We take that first fifty dollars, and we turn it into a democracy voucher, that's what they're calling it. And you get to decide, as the voter, who gets that money. You can decide if it goes to one candidate, you can decide it goes to two candidates, you can decide it goes to fifty candidates, one dollar to each. You can decide that you don't want to think that much about politics, but you know you're a Republican or you know you're a Democrat and you can just send the fifty dollars to the party, and the party will decide. Or, you could decide that you're fed up with politics, and you don't really like either party that much, and then you take the money and it goes to what they call democratic infrastructure. And that means it's going to go towards things like voting machines, getting people registered, getting people educated about how to get to the polls, that sort of stuff. So, if you don't want to give the money to a candidate, you could send it off to make democracy better. So, the argument goes. But there's still the ability to donate actual money to a candidate. So, you've got your fifty dollars, but now there's another element that says ok, well, I feel really passionate about candidate X because I'm a big gun owner and they're pro NRA. Something along those lines. You want to give that candidate money. You can give that candidate money, but you can only give that candidate one hundred dollars. And the reasoning behind that, for the people that I work with, think that a hundred dollars is a lot of money to most Americans. And, so they think that it is a pretty fair limit.

So, you can give that hundred dollars, now the candidate, if they're going to get this money, the only way that they can get this money is if they agree that's the only way, they are going to get money. Is through this program. No PAC money, no corporation money, just through this program. It would raise about six billion dollars. What do you guys think?

## 1 Appendix 3

892 See Table 7.

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Female Gender Female Female Female Female Female Female Female Female Female Male Male Male Male Male Male Male Male Male % Narrative components Post (%) 19.31 21.38 16.55 25.00 36.36 8.97 8.28 8.97 1.38 7.59 4.55 0.00 0.00 0.00 6.82 6.82 6.21 contributed Pre (%) 13.33 10.23 0.00 2.50 47.73 2.22 24.44 11.11 1.11 6.67 44.44 2.27 4.55 11.36 1.14 Hierarchical-communitarian (FG2) Cultural strength Egalitarian-Communitarian (FG4) 13.75 13.75 12.5 12.5 12.5 16.5 15 15 10 Part.# B10 **B**8 **B**9 D2 D3 4 280 **B**4  $\overline{D}$ Female Female Female Gender Female Female Female Female Female Female Male Male Male Male Male Male Male Table 7 Participant cultural cognition strength, and narrative component contributions % Narrative components Post (%) 10.56 12.68 23.94 14.08 11.97 11.27 18.92 25.68 5.63 6.34 13.51 92.9 2.11 5.41 13.51 contributed Pre (%) 19.58 2.80 9.09 15.38 10.49 4.90 11.89 11.89 11.19 11.29 29.03 14.52 17.74 8.06 3.23 0.00 Cultural strength 13.75 13.75 16.5 16.5 16.5 Hierarchical-individualist (FG1) Egalitarian-Individualist (FG3) Part. # A10 **A**4 A5 **A6** A7 **A8 4**9 C7



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# ppendix 4

See Table 8.

 Table 8
 Non-significant differences pre-information

7	Kruskal-Wallis H	Df	Asymp. sig.	HI-HC sig.	HI-EI sig.	HI-C sig.	HC-EI sig.	HC-EC sig.
Hero: Advocacy group	000:	3	1.000	1.00	1.00	1.00	1.00	1.00
Hero: Business	000.	3	1.000	1.00	1.00	1.00	1.00	1.00
Hero: Private individual	3.446	3	.328	.525	.195	.448	7.00.	.954
Hero: Government	4.678	3	.197	929.	.331	.084	.256	.094
Hero: Money	2.135	3	.545	.464	.361	.382	1.00	1.00
Hero: Power	000.	3	1.000	1.00	1.00	1.00	1.00	1.00
Hero: Scientists	000.	m	1.000	1.00	1.00	1.00	1.00	1.00
Hero: Other	000.	3	1.000	1.00	1.00	1.00	1.00	1.00
Villain: Advocacy group	4.099	3	.251	.931	.247	620.	.326	.091
Villain: Business	6.491	3	060:	.034	.121	110.	.522	.737
Villain: Private individual	4.059	3	.255	.630	.170	.501	060.	.905
Villain: Money	1.573	3	.665	.172	.274	.252	.751	.801
Villain: Scientists	000.	3	1.000	1.00	1.00	1.00	1.00	1.00
Villain: Other	6.735	3	.081	.172	1.00	.047	.212	.506
Victim: Advocacy group	3.108	33	.375	1.00	1.00	.252	1.00	.402
Victim: Business	4.277	3	.233	.300	.195	.216	1.00	1.00
Victim: Private individual	3.372	3	.338	.753	.138	089.	.137	.522
Victim: Government	.251	3	696	.954	.671	.727	.751	.801
Victim: Money	6.065	3	.108	.053	.274	1.00	.326	.091
Victim: Power	000.	3	1.000	1.00	1.00	1.00	1.00	1.00

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	Kruskal-Wallis H	Wallis H	Df	Asymp. sig.	HI-HC sig.	HI-EI sig.	HI-C sig.	HC-EI sig.	HC-EC sig.
Victim: Scientists	000.		3	1.000	1.00	1.00	1.00	1.00	1.00
Victim: Other	2.751		3	.432	1.00	.274	1.00	.423	1.00
Collective_Action_Other_Than_Gov (Con	4.847		3	.183	.172	1.00	1.00	.212	.233
Collective_Action_Other_Than_Gov (Pro)	6.054		3	.109	.464	.361	.199	1.00	.145
Government_Action (Con)	5.625		3	.131	.920	.024	.292	.030	.391
Government Action (Pro)	.121		3	686	.935	.798	.982	.771	.954

\*Statistically significant at the p < .05 level

Table 8 continued

 $<sup>^{\</sup>ddagger}$  Statistically significant at the p < .01 level using Bonferroni Correction on Mann–Whitney U test

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# **Appendix 5**

See Table 9.

Table 9 Non-significant differences post-information

	Kruskal	Kruskal–Wallis H	Df	Asymp. sig.	HI-HC sig.	HI-EI sig.	HI-EC sig.	HC-EI sig.	HC-EC sig.
Hero: Advocacy group	5.095		3	.165	.379	.062	.359	1.00	1.00
Hero: Business	0.00		3	1.00	1.00	1.00	1.00	1.00	1.00
Hero: Private individual	3.200		3	.362	.470	.155	.170	.878	.512
Hero: Government	3.643	3	3	.303	668.	.109	.260	.242	.296
Hero: Money	5.095		3	.165	.379	.062	.359	1.00	1.00
Hero: Power	0.00		3	1.00	1.00	1.00	1.00	1.00	1.00
Hero: Scientists	0.00		3	1.00	1.00	1.00	1.00	1.00	1.00
Hero: Other	0.00		3	1.00	1.00	1.00	1.00	1.00	1.00
Villain: Business	3.166		3	.367	.081	.405	.270	.233	.618
Villain: Money	1.285		3	.733	.831	699:	.359	.931	.296
Villain: Power	0.00		3	1.00	1.00	1.00	1.00	1.00	1.00
Villain: Scientists	0.00		3	1.00	1.00	1.00	1.00	1.00	1.00
Villain: Other	1.231		3	.746	.483	.913	.517	.491	2.96
Victim: Advocacy group	.794		3	.851	.535	889.	.517	.639	1.00
Victim: Business	7.682		3	.053	.281	.022	.260	1.00	1.00
Victim: Private individual	4.193		3	.241	.186	.616	.466	.054	.637
Victim: Government	3.917		3	.271	.540	.126	.147	.722	.298
Victim: Money	006.9		3	.075	.320	.062	.881	.003	.517
Victim: Power	0.00		3	1.00	1.00	1.00	1.00	1.00	1.00
Victim: Scientists	000		3	1.00	1.00	1.00	1.00	1.00	1.00

continue
Table 9

	Kruskal-Wallis H	fa	Asymp. sig.	HI-HC sig.	HI-EI sig.	HI-EC sig.	HC-EI sig.	HC-EC sig.
Victim: Other	2.538	3	.468	.535	.188	.519	1.00	1.00
Collective_Action_Other_Than_Gov (Con	4.363	3	.225	.483	.188	.519	.034	.298
Collective_Action_Other_Than_Gov (Pro)	5.387	3	.146	.163	.126	.147	.414	1.00
Government Action (Pro)	3.499	С	.321	.470	860.	.913	.749	.466

\*Statistically significant at the p < .05 level

 $^{\ddagger}$ Statistically significance at the p < .01 level using Bonferroni Correction on Mann–Whitney U test



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